

Account update request

Keep your account in good standing.

This form may be executed digitally via Aadhaar-based eSign or in physical form with wet signature. The mode used must be ticked under Section 13.

Application Ref. No.	Date	System Date Stamp (UTC)	DP ID	Client ID (BO ID)	Trading Code (UCC)	CKYC KIN
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§ 01Account type

Tick one

- ☐ Demat Account only
- ☐ Trading Account only
- ☐ Demat & Trading (combined)

§ 02Modifications requested

Tick all that apply

- ☐ Address
- ☐ Mobile Number
- ☐ Email ID
- ☐ Bank Account Details
- ☐ Demat (DP) Account Details
- ☐ Income / Networth Update
- ☐ Nominee — add / change
- ☐ Nominee — opt-out (Annexure B)
- ☐ FATCA / CRS Self-Certification
- ☐ Aadhaar Re-validation / Update
- ☐ Trading Account — Re-activation
- ☐ Other (please specify):

Trading Account Closure is processed through a separate request channel. DealDepot Brokerage offers NSE / BSE Cash Market, NSE F&O, BSE F&O, and Securities Lending and Borrowing (SLBM); modifications relating to currency derivatives or commodity segments are not applicable.

§ 03Account holder details

As per PAN records

HOLDER	HOLDER FULL NAME (AS PER PAN)	PAN	AADHAAR (LAST 4 DIGITS)*
Sole / First Holder			
Second Holder			
Third Holder			

* Last 4 digits only. Full Aadhaar is never stored on DealDepot systems; the masked / VID form is received from DigiLocker / UIDAI per SEBI Master Circular on KYC norms (12 Oct 2023).

§ 04

Address update

If §2 includes address

Required only if Address is ticked under Section 2. Where the existing record is available in DealDepot's system or KRA / CKYC records, it will be pre-populated in the "Existing on Record" column at the point of digital execution. For physical execution, leave blank if not known.

FIELD	EXISTING ON RECORD	REVISED VALUE
Correspondence Address — Line 1		
Correspondence Address — Line 2		
City		
State		
PIN Code		
Country		
Permanent Address — same as above?		
Permanent Address — Line 1 (if different)		
Permanent Address — Line 2		
City / State / PIN / Country		

Acceptable address proof (any one): Passport / Driving Licence / Voter ID / Aadhaar / NREGA Job Card / Letter from National Population Register. Deemed-OVDs (utility bill not older than 2 months, bank statement, registered rent agreement) may be used as permitted under PML Rules, with refresh of OVD within the regulator-prescribed window.

§ 05

Mobile number update

Country / ISD Code

Mobile Number (10 digits)

This Mobile Number Belongs To — please indicate:

- ☐ Self
- ☐ Spouse
- ☐ Dependent child
- ☐ Dependent parent
- ☐ Other (specify)

For digital execution, OTP authentication is performed on the new mobile as part of the workflow. For physical execution, attach a self-attested declaration confirming ownership / family relationship.

§ 06

Email ID update

Email Address

This Email ID Belongs To — please indicate:

- ☐ Self
- ☐ Spouse
- ☐ Dependent child
- ☐ Dependent parent
- ☐ Other (specify)

For digital execution, verification link / OTP confirmation is performed on the new email. For physical execution, attach a self-attested declaration confirming ownership / family relationship.

§ 07 Bank account update

If §2 includes bank

Required if Bank Account Details are ticked under Section 2. Bank account is verified via penny-drop and / or name-match against bank records before activation. Attach a cancelled cheque with name pre-printed, or a recent bank statement / pass-book copy.

FIELD	EXISTING ON RECORD	REVISED VALUE
Account Type (Savings / Current / NRE / NRO / CC-OD)		
Bank Name		
Branch Address		
Account Number		
IFSC Code		
MICR Code		
Used as Pay-in Bank?		
Used as Pay-out Bank?		

§ 08 Demat (DP) account — linked to trading

DP Name

DP ID

Client ID (BO)

§ 09 Income range and networth update

Required for activation / continuation of F&O (NSE and / or BSE) and SLBM. Acceptable income proof: latest ITR acknowledgement / Form 16 / Form 26AS / latest 6-month bank statement / salary slip (last 3 months) / certified networth certificate from a Chartered Accountant.

Income Range (per annum) — tick the applicable slab

☐ Below Rs. 1 Lac☐ Rs. 1 - 5 Lac☐ Rs. 5 - 10 Lac☐ Rs. 10 - 25 Lac☐ Rs. 25 Lac - 1 Cr☐ Above Rs. 1 Cr

Networth (Rs.)

As-of Date (DD / MM / YYYY)

Trading Segments — current authorisation

☐ NSE Cash Market☐ BSE Cash Market☐ NSE F&O☐ BSE F&O☐ SLBM

Tick the segments currently authorised on this account. Each exchange-segment combination is a distinct authorisation on your UCC record. To add a segment, attach the segment-specific addendum and supporting income / networth documentation as applicable.

§ 10 FATCA / CRS Self-certification

Required by all clients pursuant to Rule 114F of the Income-tax Rules, 1962 and SEBI directions on centralisation of FATCA / CRS certifications at KRAs. Update this section if your tax residency, nationality, or any related particulars have changed since your last submission.

A Tax Residency Declaration

Are you a tax resident of any country other than India? ☐ Yes ☐ No

If Yes, list each country of tax residency and the corresponding TIN below.

#	COUNTRY OF TAX RESIDENCY	TIN / FUNCTIONAL EQUIVALENT	TIN ISSUING COUNTRY	IF TIN UNAVAILABLE, REASON
1				
2				
3				

B US Person Declaration

Are you a US citizen, US Green Card holder, or otherwise a "US Person" for FATCA purposes (per IRS rules)? ☐ Yes ☐ No

C Birth and Nationality

Place of Birth (City / Town)

Country of Birth

Country / Countries of Citizenship

D PEP / RPEP Status

Are you a Politically Exposed Person (PEP) or related to a PEP? ☐ Yes ☐ No

If Yes, please specify position held / relationship to PEP

FATCA / CRS Undertaking. I certify that the information provided in Section 10 above is true, complete and correct. I undertake to inform DealDepot Brokerage of any change in my tax residency, citizenship status, or any other particular that affects this self-certification, and to provide a fresh self-certification within the period required under applicable rules. I acknowledge that submission of false or misleading information may attract consequences under the Income-tax Act, 1961 and Rule 114F.

ANNEXURE A

Nomination details.

I / We wish to nominate the following person(s) who shall receive all assets held in my / our account in the event of my / our death. Up to 10 nominees may be appointed in respect of the demat account, in accordance with the SEBI Circular dated 10 January 2025 on Revised Nomination Norms.

Aggregate share across all nominees must equal 100%. Any odd lot after division shall be transferred to the first-named nominee. In the absence of a specified share, equal proportions are presumed across all nominees.

#	NOMINEE NAME	RELATIONSHIP	SHARE %	DATE OF BIRTH (IF MINOR)	ID TYPE*	ID NUMBER
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

* ID Type — any one of: PAN / Aadhaar (last 4 digits) / Driving Licence / Demat Account ID / Voter ID. Mandatory under SEBI nomination framework (Jan 2025).

ANNEXURE A – CONTINUED

Guardian and contact details.

GUARDIAN DETAILS — FOR ANY MINOR NOMINEE(S)

MINOR NOMINEE #	GUARDIAN NAME	RELATIONSHIP	GUARDIAN PAN	GUARDIAN MOBILE

NOMINEE ADDRESS AND CONTACT (OPTIONAL)

NOMINEE #	ADDRESS LINE	CITY / PIN	MOBILE / EMAIL

Nomination Note. This nomination supersedes any prior nomination on the account. An acknowledgement of the nomination is generated on completion of execution and made available to the account holder(s) through the registered communication channels.

ANNEXURE B

Declaration for opting-out of nomination.

I / We hereby confirm that I / we do not wish to appoint any nominee(s) in respect of my / our demat account. I / We are aware that, in the event of death of all account holder(s), my / our legal heirs shall be required to submit all requisite documents and information for claiming the assets, which may include an order or certificate from a Court or other competent authority depending on the value of assets held. I / We understand the consequences and confirm this declaration is made of my / our own free will after considering the same.

Sole / First Holder — Signature

Second Holder — Signature

Third Holder — Signature

§ 11 Trading account — Re-activation

I / We confirm that I / we have not transacted on the DealDepot trading account in the segments listed below for the period of dormancy as defined in the operative SEBI / Exchange / Depository circulars. I / We am / are now desirous of resuming trading and request re-activation. I / We confirm that there is no material change in the information previously furnished, save as set out in this form.

☐ NSE Cash Market ☐ BSE Cash Market ☐ NSE F&O ☐ BSE F&O ☐ SLBM

§ 13 Mode of execution

Tick one

☐ Digital — Aadhaar-based eSign ☐ Physical — Wet signature

§ 12 Client declaration

I / We declare that: (a) the information furnished is true, complete and correct to the best of my / our knowledge and belief; (b) the supporting documents uploaded / submitted are genuine and have been issued by the respective issuing authorities, and I / we authorise DealDepot Brokerage Pvt Ltd and its KYC partners to verify the same with the issuer / official databases (PAN with Income Tax Department, Aadhaar with UIDAI subject to consent, bank account via penny-drop / API, etc.); (c) I / we agree that the modifications shall be processed in accordance with the operative regulatory framework, including the SEBI Master Circular on KYC norms and amendments thereto, the SEBI Master Circular on AML / CFT, and the directions of the relevant Stock Exchanges and the Depository, as in force from time to time; (d) I / we acknowledge that the modifications shall take effect only upon successful completion of regulatory validation and downstream processing across the KRA, CKYCRR and Depository /

Exchange systems as applicable, and that DealDepot Brokerage Pvt Ltd does not warrant any specific timeline for such downstream processes which lie outside its sole control; (e) I / we undertake to inform DealDepot Brokerage promptly of any further change in the particulars, and to provide refreshed self-certifications and supporting documents as and when required by applicable law; (f) my / our existing rights and obligations as a client of DealDepot Brokerage Pvt Ltd, including those set out in the Account Opening Kit and the Rights and Obligations document of the Stock Brokers and the Depository Participants, shall continue to apply; (g) where this form is executed via Aadhaar-based eSign, I / we have provided express, informed consent for such use, and I / we understand that the digital signature affixed via eSign carries the same legal effect as a wet signature under Section 5 of the Information Technology Act, 2000.

§ 14 Signatures

Complete Block A for digital execution OR Block B for physical execution. Block C is required only if any holder affixes a thumb-impression in lieu of signature in Block B. Block D is required only if a third party submits the physical form on behalf of the holder(s).

BLOCK A Digital Execution (Aadhaar-based eSign)

HOLDER	FULL NAME	PAN	eSIGN REFERENCE / TIMESTAMP
Sole / First Holder			
Second Holder			
Third Holder			

eSign Reference and Timestamp are populated automatically by the CCA-licensed Application Service Provider on completion of Aadhaar-based eSign. The completed PDF, bearing the eSign envelope, is the authoritative record. No witness, bearer, or DP seal is required for digital execution.

BLOCK B Physical Execution (Wet Signature)

HOLDER	FULL NAME	SIGNATURE	DATE
Sole / First Holder			
Second Holder			
Third Holder			

§ 14 Signatures — continued

BLOCK C Witness Attestation (only if any holder uses thumb-impression in Block B)

Witness Name	Address	Witness Signature	Date

BLOCK D Bearer Attestation (only if physical form submitted by a third party)

I / We hereby authorise Mr. / Ms. _____ (name of bearer) whose signature appears below and is attested by us, to submit this form on our behalf to DealDepot Brokerage Pvt Ltd.

Bearer Name	Bearer Signature	Date

BLOCK E For DealDepot Internal Use

Received by	Captured by	Verified by	Reference No.